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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/005,043	12/04/2001	Thomas I. Yeh	88413.000002	9524

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EXAMINER

PEREZ DAPLE, AARON C

ART UNIT	PAPER NUMBER
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2154

DATE MAILED: 09/29/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/005,043

Applicant(s)

YEH ET AL.

Examiner

Aaron C Perez-Daple

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 09 August 2004.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-14 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-14 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All   b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

1. This Action is in response to RCE filed 8/9/04, which has been fully considered.
2. Amended claims 1-14 are presented for examination.
3. This Action is non-Final.

### ***Claim Rejections - 35 USC § 112***

4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. **Claim 9** is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Specifically, the phrase “and the responding the data translator to the data transform information” recited in lines 3-4 is unclear and renders the claim indefinite. Furthermore, the term “the responding” lacks proper antecedent basis. For the purpose of applying prior art, the Examiner interprets that the lines should recite, --and the data translator is responsive to the data transform information--.

### ***Claim Rejections - 35 USC § 102***

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

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7. **Claims 1, 2, 4, 6-9, 11, 13 and 14** are rejected under 35 U.S.C. 102(b) as being anticipated by Rostoker et al. (US 6,131,124) (hereinafter Rostoker).
8. As for claims 1 and 8, Rostoker discloses a method and system for connecting one of a plurality of industrial machines having different data format and storage configurations to a communications medium for remote monitoring and control, the method comprising:
  - (a) storing data in predetermined locations and in a predetermined format, and storing configuration information relating to the at least one of the industrial machines in a memory (col. 3, lines 34-54; col. 7, lines 39-53);
  - (b) configuring an electrical interface for direct connection to at least one of the industrial machines in response to the configuration information and directly connecting the interface to the machine (col. 2, lines 18-36; col. 3, lines 34-54; Fig. 1C, Fig. 3B);
  - (c) receiving machine data from the industrial machine and sending data to the industrial machine through a configurable directly connectable electrical interface responsive to the configuration information (col. 3, line 55 – col. 4, line 3);
  - (d) receiving data from the interface and transforming the data to the predetermined format in a data translator responsive to the configuration information (col. 7, lines 1-24);
  - (e) reading data from and writing data to the predetermined locations in the memory with a processor responsive to the configuration information (col. 6, lines 53-67; col. 7, lines 1-24); and
  - (f) connecting a communications port to the communications medium (col. 1, lines 49-62; Figs. 1C and 3B).

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9. As for claims 2 and 9, Rostoker discloses the method and system of claims 1 and 8, further comprising including data transform information in the information relating to the industrial machine, and the data translator is responsive to the data transform information (col. 7, lines 39-53).
10. As for claims 4 and 11, Rostoker discloses the system and method of claims 1 and 8, further comprising storing the configuration information in a non-volatile memory (ROM, col. 7, lines 39-53).
11. As for claims 6 and 13, Rostoker discloses the system and method of claims 1 and 8, further comprising processing configuration information and loading the configuration information into the memory in a configuration processor separate from the apparatus and removably connectable to the apparatus (col. 6, lines 53-67).
12. As for claims 7 and 14, Rostoker discloses the system and method of claims 1 and 8, further comprising retaining configuration information for a plurality of industrial machines in the configuration information (col. 7, lines 39-53).
13. **Claims 1-4, 7-11, and 14** are rejected under 35 U.S.C. 102(b) as being anticipated by Heidhues et al. (US 6,032,203) (hereinafter Heidhues).
14. As for claims 1 and 8, Heidhues discloses a method and system for connecting one of a plurality of industrial machines having different data format and storage configurations to a communications medium for remote monitoring and control, the method comprising:
  - (a) storing data in predetermined locations and in a predetermined format, and storing configuration information relating to the at least one of the industrial machines in a memory (col. 5, lines 41-64);

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(b) configuring an electrical interface for direct connection to at least one of the industrial machines in response to the configuration information and directly connecting the interface to the machine (col. 4, lines 19-44; Fig. 1);

(c) receiving machine data from the industrial machine and sending data to the industrial machine through a configurable directly connectable electrical interface responsive to the configuration information (col. 4, lines 19-44; col. 5, lines 27-64);

(d) receiving data from the interface and transforming the data to the predetermined format in a data translator responsive to the configuration information (col. 4, lines 19-44; col. 5, lines 27-64; Fig. 4);

(e) reading data from and writing data to the predetermined locations in the memory with a processor responsive to the configuration information (col. 4, lines 19-44; col. 5, lines 27-64; Figs. 4 and 5); and

(f) connecting a communications port to the communications medium (col. 3, line 41 - col. 4, line 18; Fig. 1).

15. As for claims 2 and 9, Heidhues discloses the method and system of claims 1 and 8, further comprising including data transform information in the information relating to the industrial machine, and the data translator is responsive to the data transform information (col. 5, lines 27-64).

16. As for claims 3 and 10, Heidhues discloses the system and method of claims 1 and 8, further comprising coupling a display to the processor for displaying the data to a user (col. 4, lines 19-44)

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17. As for claims 4 and 11, Heidhues discloses the system and method of claims 1 and 8, further comprising storing the configuration information in a non-volatile memory (col. 10, lines 32-43).
18. As for claims 7 and 14, Heidhues discloses the system and method of claims 1 and 8, further comprising retaining configuration information for a plurality of industrial machines in the configuration information (col. 5, lines 27-64, Fig. 1).

***Claim Rejections - 35 USC § 103***

19. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

20. **Claims 3 and 10** are rejected under 35 U.S.C. 103(a) as being unpatentable over Rostoker in view of Fackler et al. (US 5,729,204) (hereinafter Fackler).
21. As for claims 3 and 10, because Rostoker teaches using an attached apparatus to update configuration information in the memory (col. 6, lines 53-67), it could be argued that such an apparatus would inherently include a display for displaying data to a user. However, Rostoker does not explicitly disclose coupling a display to the processor for displaying data to a user. Fackler teaches coupling a display to the processor of an interface device similar to claims 1 and 8 for the purpose of displaying data to a user and updating information stored in memory (Fig. 6; col. 15, lines 42-57). It would have been obvious to one of ordinary skill

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in the art at the time of the invention to modify Rostaker by using a display coupled to the processor for displaying data to a user in order to update information stored in memory, as taught by Fackler above.

22. **Claims 5 and 12** are rejected under 35 U.S.C. 103(a) as being unpatentable over Rostoker in view of Khan et al. (US 6,088,624) (hereinafter Khan).
23. As for claims 5 and 12, Rostoker does not specifically disclose storing the configuration information in a removable memory. Khan teaches storing configuration information in removable memory for the purpose of configuring the device for additional machines (discs 33, Fig. 1; col. 4, lines 3-10). It would have been obvious to one of ordinary skill in the art to modify Rostoker by storing the configuration information in removable memory for the purpose of configuring the device for additional machines, as taught by Khan above.
24. **Claims 5 and 12** are rejected under 35 U.S.C. 103(a) as being unpatentable over Heidhues in view of Khan.
25. As for claims 5 and 12, Heidhues does not specifically disclose storing the configuration information in a removable memory. Khan teaches storing configuration information in removable memory for the purpose of configuring the device for additional machines (discs 33, Fig. 1; col. 4, lines 3-10). It would have been obvious to one of ordinary skill in the art to modify Heidhues by storing the configuration information in removable memory for the purpose of configuring the device for additional machines, as taught by Khan above.
26. **Claims 6 and 13** are rejected under 35 U.S.C. 103(a) as being unpatentable over Heidhues in view of Dew (US 5,963,450).



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27. As for claims 6 and 13, Heidhues does not specifically disclose the use of a configuration processor separate from the apparatus and removably connectable to the apparatus.

However, Dew discloses a programmable interface apparatus and method similar to claims 1 and 8, further comprising a configuration processor separate from the apparatus and removably connectable to the apparatus for processing configuration information and loading the configuration information into the memory (PC 74, Fig. 3; col. 5, lines 37-42, "A PC based data...communication networks."). It would have been obvious to one of ordinary skill in the art to modify Heidhues with the teachings of Dew by adding a configuration processor separate from the apparatus and removably connectable to the apparatus in order provide a user interface for user control and configuration of the controller, as taught by Dew (col. 2, lines 51-62, "Data from each slave...each slave device.").

### ***Response to Arguments***

28. Applicant's arguments filed 8/9/04 with respect to claims 1-14 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

29. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

US 5,875,415, note abstract;

US 6,334,160, note col. 2;

US 6,128,673, note interface system with protocol translator;

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US 6,138,180, note Fig. 1;

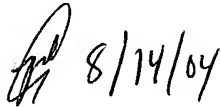
US 6,314,479 B1, note Fig. 6;

US 5,745,794, note abstract.

30. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Aaron C Perez-Daple whose telephone number is (703) 305-4897. The examiner can normally be reached on 9am-5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Follansbee can be reached on (703) 305-8498. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
Aaron Perez-Daple

